



# Flash-flood potential assessment and mapping by integrating the weights-of-evidence and frequency ratio statistical methods in GIS environment – case study: Bâsca Chiojdului River catchment (Romania)

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Given the significant worldwide human and economic losses caused due to floods annually, reducing the negative consequences of these hazards is a major concern in development strategies at different spatial scales. A basic step in flood risk management is identifying areas susceptible to flood occurrences. This paper proposes a methodology allowing the identification of areas with high potential of accelerated surface run-off and consequently, of flash-flood occurrences. The methodology involves assessment and mapping in GIS environment of flash flood potential index (FFPI), by integrating two statistical methods: frequency ratio and weights-of-evidence. The methodology was applied for Bâsca Chiojdului River catchment (340 km<sup>2</sup>), located in the Carpathians Curvature region (Romania). Firstly, the areas with torrential phenomena were identified and the main factors controlling the surface run-off were selected (in this study nine geographical factors were considered). Based on the features of the considered factors, many classes were set for each of them. In the next step, the weights of each class/category of the considered factors were determined, by identifying their spatial relationships with the presence or absence of torrential phenomena. Finally, the weights for each class/category of geographical factors were summarized in GIS, resulting the FFPI values for each of the two statistical methods. These values were divided into five classes of intensity and were mapped. The final results were used to estimate the flash-flood potential and also to identify the most susceptible areas to this phenomenon. Thus, the high and very high values of FFPI characterize more than one-third of the study catchment. The result validation was performed by (i) quantifying the rate of the number of pixels corresponding to the torrential phenomena considered for the study (training area) and for the results' testing (validating area) and (ii) plotting the ROC (receiver operating characteristics) curve.

**Keywords.** FFPI; weights-of-evidence; frequency ratio; GIS; Bâsca Chiojdului River; run-off; flash-flood; ArcGIS.

## 1. Introduction

In the last few decades, climate change and related impacts such as floods have generated significant

worldwide negative consequences on both natural and human systems. The recent detection of increasing trends in extreme precipitation and discharge in certain catchments implies greater flood

risks at regional scale (IPCC 2014). The large damages generated annually by floods reveal the high vulnerability and exposure of many regions to this widespread and frequent hazard. Thus, many research studies from the international literature is focused on the assessment of flash-flood exposure and vulnerability (Ruin *et al.* 2008; Fuchs 2009; Špitalar *et al.* 2014; Fuchs *et al.* 2015; Terti *et al.* 2015; Karagiorgos *et al.* 2016a, b). In this context, flood risk mitigation continues to be a real challenge for water management and territorial development policies. In accordance with the 2007/60/EC directive on the assessment and management of flood risks, an elementary step in flood risk management consists of flood risk and hazard mapping. To this end, GIS-based spatial analysis techniques are particularly useful. Among other benefits, these techniques allow the identification of areas that are susceptible to accelerated run-off and implicitly, to flash-floods occurrence/susceptibility mainly caused by heavy rainfall. Areas with high susceptibility to flash-flood occurrences, due to heavy rainfall, are generally characterized by the presence of several geographical factors (steep slopes, impervious surfaces, high density of hydrographical network, deforested surfaces) which favour the accelerated surface run-off and further the water convergence into the main river channels and flood wave propagation from the upper area of the basin to the lower zone (Costache *et al.* 2014). For more accurate results, integrating statistical methods in spatial analyses is suitable.

In this context, this paper aims to propose a methodology for flash-flood potential assessment and mapping, based on the integration in GIS environment of run-off's main control factors and two statistical analysis methods, in order to identify areas with very high susceptibility to flash-flood occurrence. One of the methods previously used in scientific literature for estimating the accelerated run-off potential was based on determining the flash-flood potential index (FFPI). This index was developed for the first time by Greg Smith (2003) for Colorado river basin, in the National Weather Service (USA). At that moment, FFPI was considered as an additional tool for Flash-Flood Monitoring Prediction Advanced (FFMPA), used in order to improve the quality of flash-flood forecasts. Four geographical variables (slope, vegetation, soil type and land use) were taken into account and overlaid in GIS environment, by the author, in order to calculate the FFPI values across the Colorado river

basin. In the National Weather Service, other studies regarding FFPI were carried out for different areas of USA by authors like Kruzdlo (2010), Ceru (2012), Zogg and Deitsch (2013), Arachchige and Perera (2015), the methodology being improved by considering a higher number of geographical variables and by weighing these factors into the final equation of FFPI. In Romania, many studies focussing on the determination of FFPI for several regions were carried out by authors like Teodor and Matreata (2011), Zaharia *et al.* (2012), Minea (2013), Prăvălie and Costache (2014). One of the limitations of FFPI is not taking into account the temporal variability of soil moisture, which is one of the most important factors that influences the surface run-off characteristics. In an attempt to improve the results prompted by the FFPI-based method, this paper, proposes the integration of two of the most widely used spatial statistical methods for identifying areas that are susceptible to hazards: i.e., weights-of-evidence (Lee and Choi 2004; Dahal *et al.* 2008; Regmi *et al.* 2010; Kayastha *et al.* 2012) and frequency ratio (Lee and Sambath 2006; Lee and Pradhan 2007; Yilmaz 2009; Yalcin *et al.* 2011). Formerly, these methods have mainly been used in studies aiming to identify areas susceptible to landslides (Corsini *et al.* 2009; Poudyal *et al.* 2010; Pradhan *et al.* 2010; Mohammady *et al.* 2012; Park *et al.* 2013; Pourghasemi *et al.* 2013). In this paper, the results for each statistical methods were validated in two different ways: (i) by quantifying the distribution weight for the number of pixels corresponding to torrential phenomena considered both for the study (training area) and for results' testing (validating area), and (ii) by using the ROC (receiver operating characteristics) curve (Mărgărint *et al.* 2013).

The proposed methodology can be useful for identifying the areas susceptible to flash-floods in catchments for which there are no maps/information on flood hazard. In areas where high susceptibility to flash-floods are identified, a more in-depth investigation can be conducted, based on hydraulic models that allow flood-prone area delineation and flood risk mapping (Costache *et al.* 2015; Zaharia *et al.* 2015). Given the fact that flash-floods are one of the most damaging natural disasters at planetary scale (Youssef *et al.* 2011), the identification of the areas with very high flash-flood potential plays a crucial role mainly for adopting the best measures in order to reduce flash-floods peak discharge, as well as for increasing flash-flood forecasts accuracy. From the

most appropriate measures which can be taken, we can mention: afforestation activities, torrent planning and slopes terracing.

Mapped information on flood hazard and related risks is essential for diminishing the flood-induced losses. The cartographic products are very useful for helping decision makers and map users from various fields (such as strategic planning, emergency management or the public) to adopt appropriate actions and measures for flood risk mitigation (Meyer *et al.* 2012; Godfrey *et al.* 2015; Gonçalves *et al.* 2015). But it is important that the hazard or risk maps design fulfil the requirement to serve as an efficient communication tool both

for specialists/practitioners and laypeople (Fuchs *et al.* 2009).

## 2. Study area

The study area corresponds to the Bâsca Chiojdului River catchment, located in the central south-eastern part of Romania (figure 1), in the Carpathians' curvature region. The catchment area is 340 km<sup>2</sup>, of which 44% overlaps the Carpathian (mountainous) region, and 56% the Subcarpathian (hilly) region. The relatively small size of the catchment, and especially of its sub-catchments, is a

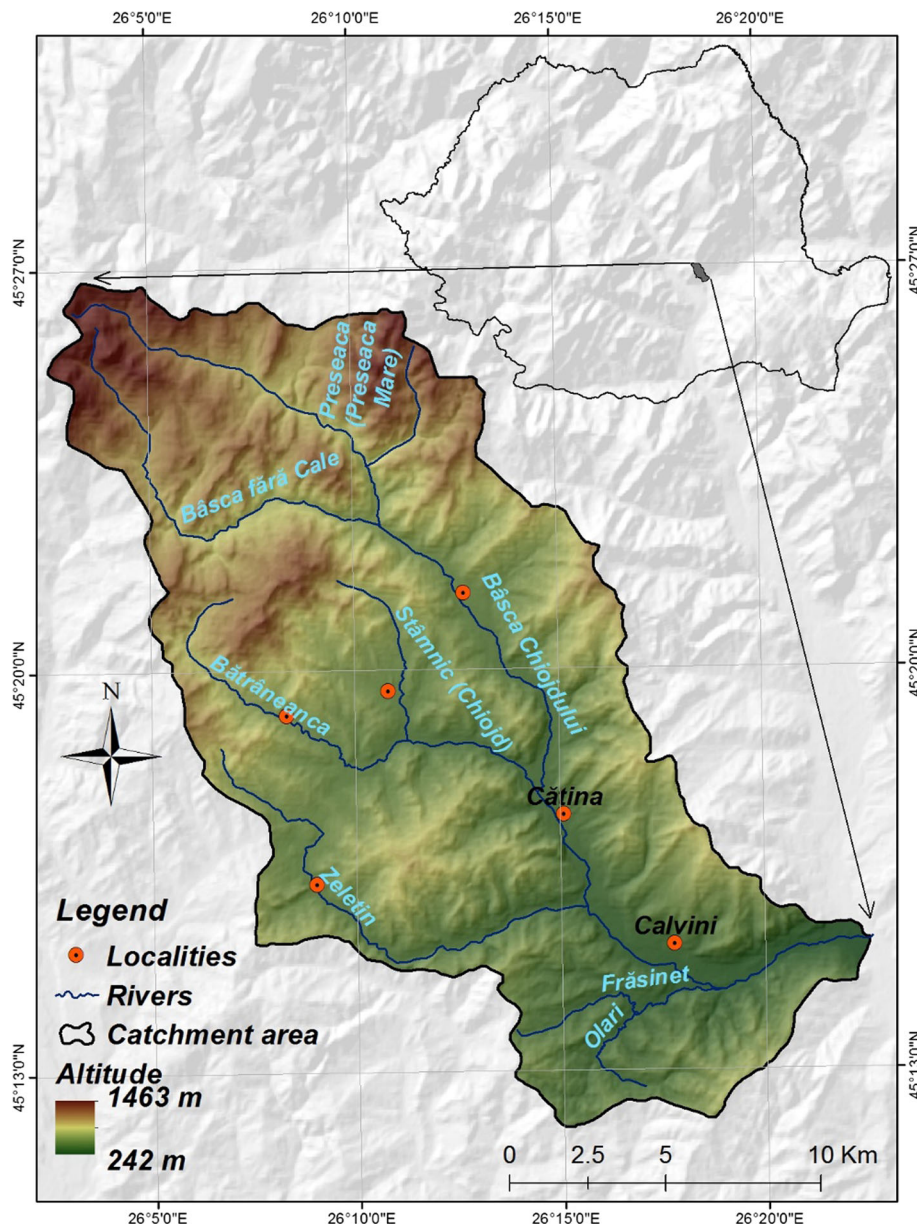


Figure 1. Bâsca Chiojdului River catchment and its location in Romania.

factor favouring flash-flood occurrence. The catchment elevation ranges from 242 to 1463 m asl. It has an average altitude of 656 m asl and an average slope of approximately 12.3°, features that also favour accelerated surface run-off and flood occurrence.

The catchment’s shape, an important factor that influences the time of run-off concentration, is reflected by the circularity ratio ( $R_c$ ), computed with the equation (Pişota *et al.* 2010):

$$R_c = \frac{4\pi A}{P^2}, \tag{1}$$

where  $A$  is the catchment area ( $\text{km}^2$ ), and  $P$  is the catchment perimeter (km).

The circularity ratio of the whole Bâsca Chiojdului catchment is 0.46, while for the catchments of the main tributaries,  $R_c$  ranges from 0.36, in the Zeletin catchment, to 0.63 in the Preseasca catchment (table 1). The higher the circularity ratio, the lower the catchment area, and the steeper the slopes are, the shorter the water concentration time in the catchment is. For the study area, the concentration time ranges from 1.34 hrs in the Olari catchment, to 7.27 hrs for the overall Bâsca Chiojdului catchment (table 1). The concentration time was determined with the following equation (DHI 2009):

$$T_{lag} = \frac{(L \times 3.28 \times 10^3)^{0.8} \times \left(\frac{1000}{CN_{aw}} - 9\right)^{0.7}}{1900 \times Y^{0.5}} \tag{2}$$

and

$$T_c = \frac{T_{lag}}{0.6} \tag{3}$$

where  $T_{lag}$  is the lag time (hr);  $L$  is the hydraulic length of the catchment (km);  $CN_{aw}$  is the average curve number within the catchment area;  $Y$  is the average catchment slope %; and  $T_c$  is the concentration time (hr).

Other characteristics of the Bâsca Chiojdului River catchment and its sub-catchments that influence run-off and streamflow, are listed in table 1.

The forest cover is another important geographic factor controlling the run-off. The afforestation ratio within Bâsca Chiojdului River catchment is 50%. In terms of sub-catchments, the forest rate ranges from 23%, in Stâmnice River catchment, to 90% in the Preseasca River catchment (table 1). Another important runoff control variable is the

Table 1. Characteristics of the Bâsca Chiojdului River catchment and of its main sub-catchments.

River	Area (km <sup>2</sup> )	Mean slope (°)	Circularity ratio	Altitude (m asl)			Afforestation degree (%)	Hydrographic network		
				Min	Max	Mean		Concentration time (hr)	Length (km)	River mean slope (m/km)
Bâsca Chiojdului	340	12	0.46	241	1414	639	50	7.27	41	26.8
Bâsca fără Cale	44.16	15	0.51	546	1460	919	84	3.3	15	51.3
Preseasca	9.63	17	0.63	660	1219	968	90	1.58	5	98.7
Stâmnice	34.12	12	0.5	363	1101	598	23	3.09	12	38.7
Bătrâneanca	35.18	11	0.52	419	1129	684	70	2.71	12	38.2
Zeletin	39.84	11	0.36	326	951	544	41	3.69	18	23.2
Frâsinet	14.43	9	0.42	282	590	409	59	2.15	8.5	10.7
Olari	14	10	0.52	312	712	439	70	1.34	4.3	15.8



hydrologic soil group (Chendes 2011; Costache 2014). In the study catchment, soil group B (with predominantly loamy texture, and hydraulic conductivity ranging from 10 to 40  $\mu\text{m/s}$ , according to the National Engineering Handbook 2007) is the most extensive (41% of the catchment’s area). It is followed by soil group C (having loamy-clayey textured soils, with a hydraulic conductivity that ranges between 1 and 10  $\mu\text{m/s}$ ), which covers 33% of the catchment’s area, and by soil group D (with predominantly clayey texture, and hydraulic conductivity below 1  $\mu\text{m/s}$ ), which totals 22.2%. The hydrologic group that is the most restrictive for run-off is A (predominantly sandy textured soils with hydraulic conductivity over 40  $\mu\text{m/s}$ ), which is spread on 3% of the study catchment.

Bâsca Chiojdului River is 41 km long, and flows into Buzau River. Its main tributaries are generally short (they range between 4.3 and 18 km in length) and have steep slopes that range from 10.7 to 98.7 m/km (table 1), features favouring the flash-flood propagation speed.

Inside the study area, there is only one gauging station, on the Bâsca Chiojdului River, at Chiojdu. It is part of the monitoring network of the ‘Romanian Waters’ National Administration, and controls an area of 105 km<sup>2</sup> (approximately one third of the catchment’s total area that, for the most part, overlaps the mountainous sector) with a mean altitude of 906 m.

The average multiannual discharge of Bâsca Chiojdului River at the aforementioned station is 1.21 m<sup>3</sup>/s (for the period 1961–2012). During the most severe flash-floods, the maximum discharge

exceeded 100 m<sup>3</sup>/s: 300 m<sup>3</sup>/s in 1975, 268 m<sup>3</sup>/s in 1991 and 236 m<sup>3</sup>/s in 2005 (figure 2) (according to NIHWM data). The trendline analysis shows a slight decrease of the maximum annual discharge between 1964 and 2012 (figure 2), but it is not statistically significant.

Due to the catchment’s geographical features, the floods are generally fast, with a short time to peak. Thus, during the largest flood recorded in the Bâsca Chiojdului River catchment in July 1975, the discharge increased from 1.02 to 300 m<sup>3</sup>/s in only 9 hrs; in 1990 the discharge increased from 1.34 to 170 m<sup>3</sup>/s in 5 hrs, and in 2005, the discharge increased from 2.52 to 236 m<sup>3</sup>/s in 11 hrs (NIHWM 2015).

Flash-floods and torrential run-off affect people and social-economic elements in the settlements of the study area, namely Chiojdu, Starchiojd, Cătina, Calvini, Poseștii-Pământenii and Bătrâni (figure 1). These are rural settlements, with predominantly agricultural (mostly livestock-related) and forestry activities. Infrastructure and transport elements, such as County roads 102 B, 102 L and, in part, 100 M, are also exposed to these hazards.

### 3. Data and methodology

The study is based mostly on spatial data, i.e., hypsometry, geology, soils, land use/cover data acquired from different sources that are mentioned below.

The methodology consists of three steps.

The **first step** consisted of identifying and mapping areas affected by torrentiality in the

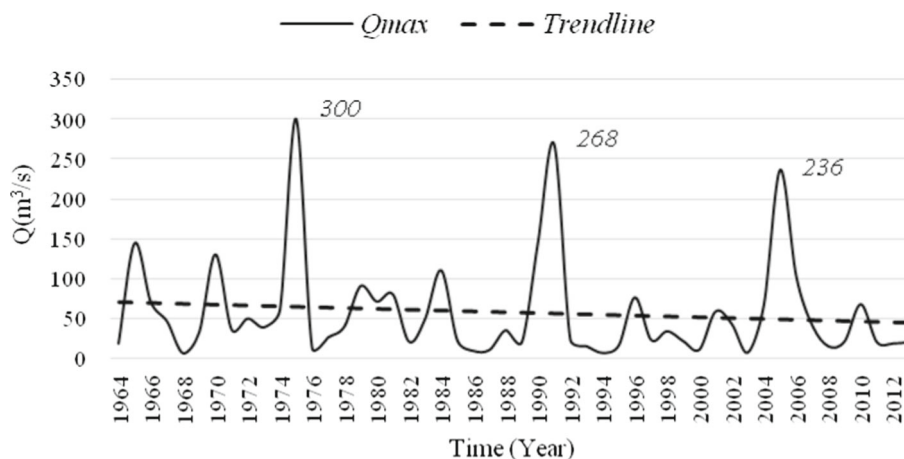


Figure 2. The maximum annual discharge (Q<sub>max</sub>) variation of Bâsca Chiojdului River, at Chiojdu gauging station (1964–2012).

Bâsca Chiojdului River catchment, based on Orthophotomaps, with 2 m resolution, edited by NACLIR in 2008. The areas affected by torrential phenomena are characterized by the unified presence of torrential microform of relief such as ravines and gullies which are generated by surface run-off. Due to the fact that flash-floods are generated on surfaces with high slopes and a low density of forest vegetation, these areas are favourable to surface run-off generation, phenomenon that precedes the flash-floods occurrence. In order to represent

the spatial distribution of the surfaces with a high torrential degree, based on Orthophotomap observations, the areas characterized by the unitary presence of torrential specific relief microforms, resulting from water erosion, were identified. In order to be used in GIS environment, these areas were digitized. The areas identified as affected by these processes totalize approximately 34 km<sup>2</sup>, which corresponds to ~10% of the study catchment area. Seventy percent of the 34 km<sup>2</sup> were used for the assessment and mapping of flash-

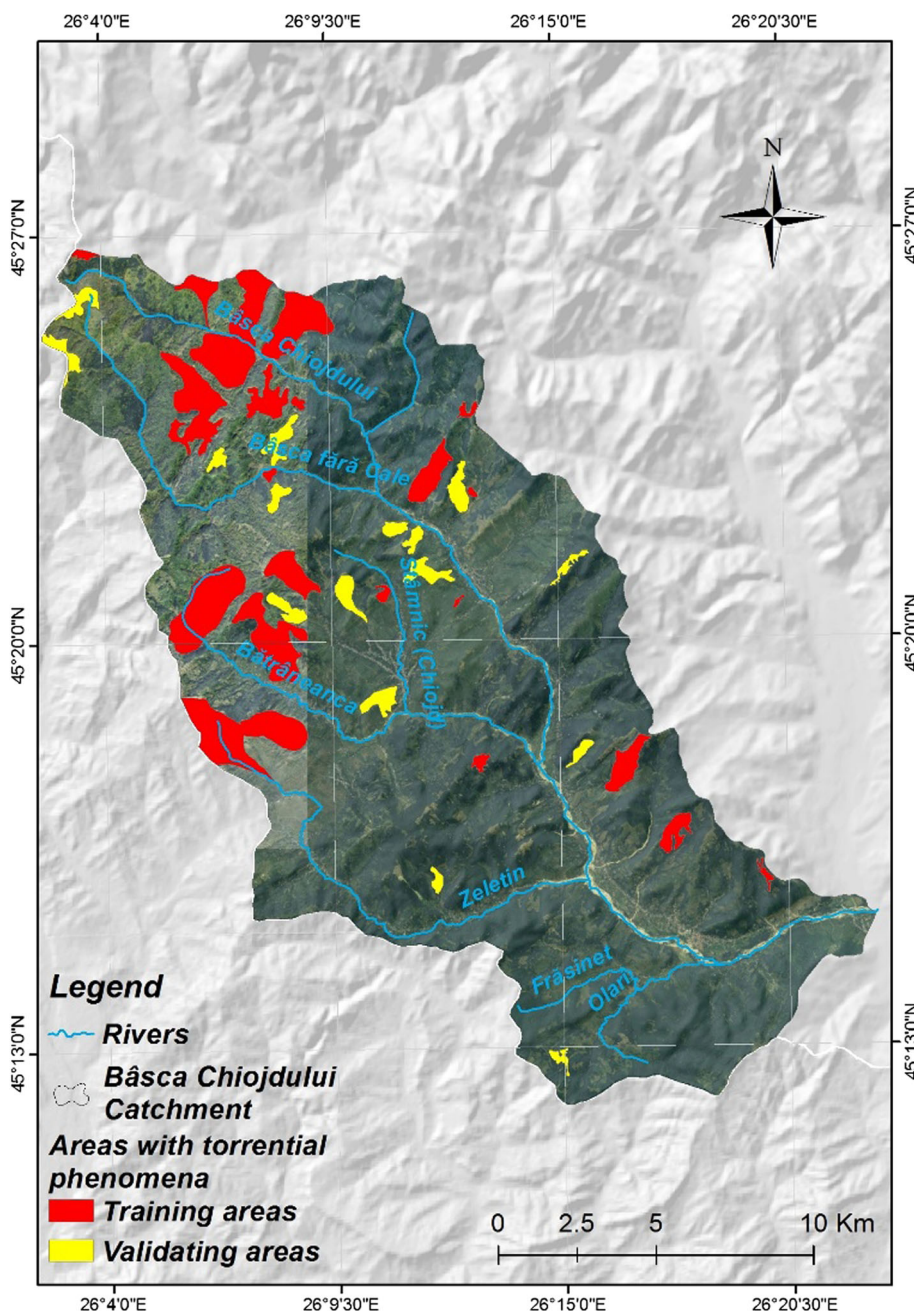


Figure 3. The spatial distribution of the areas with torrential phenomena within Bâsca Chiojdului River catchment.

flood occurrence potential (training area), while 30% was considered for the result validation (validating area) (figure 3). In order to apply the statistical methods (weights-of-evidence and frequency ratio), the polygons designating torrentiality phenomena were converted in raster format with 20 m cell size.

The **second step** of the study consisted of selecting the geographic control factors/variables that influence the surface run-off, and processing them in GIS format. Based on the outcome, the Flash-Flood Potential Index (FFPI) values were computed and mapped. Comparing to previous studies in which a number of up to five geographical variables were taken into account to calculate FFPI, this study considered nine variables in order to increase the degree of accuracy and confidence of the final results: terrain slope, L–S factor, profile curvature, drainage network density, hydrographic network convergence index, slope aspect, lithology, land use/cover and hydrologic soil groups (figures 4a, b and 5).

The terrain slope, L–S factor, profile curvature, drainage network density and slope aspect were derived from the Digital Elevation Model (DEM) with 20 m cells, obtained by interpolating the level curves with equidistance of 5 m, digitized after the Romania's topographic map 1:25,000.

The remaining three factors were initially obtained in polygon vector format: land use/cover was accessed from the European Corine Land Cover database (2006); lithology data was extracted from the Geological Map of Romania, 1:200,000; the hydrologic soil groups were identified based on soil textures, digitally processed from the soil map of Romania, 1:200,000 (SRIPA 2002). The factors initially derived in polygon format were converted in raster format with 20 m cell size so that they match the factors that were derived directly in raster format.

Based on the features of the considered variables, many classes were set for each factor. Thus, five classes of values were established for terrain slope, based on existing classifications in specialized literature on accelerated run-off (Fontanine and Costache 2013; Prăvălie and Costache 2014; Zaharia *et al.* 2015); the soil classes were clustered depending on the hydrologic groups they belonged to the land use types were grouped based on Manning coefficient values, which influence the surface run-off; the lithology was classified based on the rocks hardness (the hardest rocks favour the run-off); the profile curvature values were grouped

in order to obtain different intervals for convex, concave and quasi-horizontal areas; the slope aspect was divided into five categories depending on sunlight exposure; the convergence index values were grouped, similarly to landform slope, into five classes, based on existing classifications found in specialized literature (Fontanine and Costache 2013; Prăvălie and Costache 2013; Costache *et al.* 2015); L–S factor and hydrographic network density values were classified by means of the Natural Breaks statistical method (Kumar and Anbalagan 2015). All geographical factors mentioned were mapped taking into account their classes or categories.

Regarding the distribution of training and validating areas in terms of topographic variables, the following situation is remarkable: the presence of more than 80% of these areas on slopes exceeding 15°; over 87% from the training and validating areas are found on surfaces with rare vegetation, while the soils from the hydrological group C are covered with 60% from the total surfaces affected by torrential phenomena, the same percentage belonging to slopes with southern, south-eastern and western exposure; relatively equal percentage (20%) of the total area with torrential phenomena are specific for each class of drainage density and convergence index values; the areas with L–S factor values between 3.75 and 10.76 are covered with 70% of torrential areas, while the surfaces with negative values of profile curvature contain a percentage of 90% from the areas with torrential phenomena; regarding the lithology, majority of the training and validating areas are located on rock types such as: flysch with alternate sandstone shale, gypsum, clays and sandstones.

The **third step** consisted of computing and mapping the FFPI by integrating the weights-of-evidence and frequency ratio statistical methods. The statistical computations are based on the number of pixels in the raster derived for the analysis.

The weights-of-evidence (WoE) method is a bivariate Bayesian statistical model that is widely used in order to determine susceptibility to landslides. This paper uses this method for the assessment of flash-flood potential. It is based on computing the weights that the nine considered factors have in accelerated surface run-off and flash-flood occurrence. The weights of each factor classes/categories were estimated based on the presence or absence of the phenomenon (torrentiality) inside the classes/categories. The model supposes the fact that factors favouring accelerated run-off are



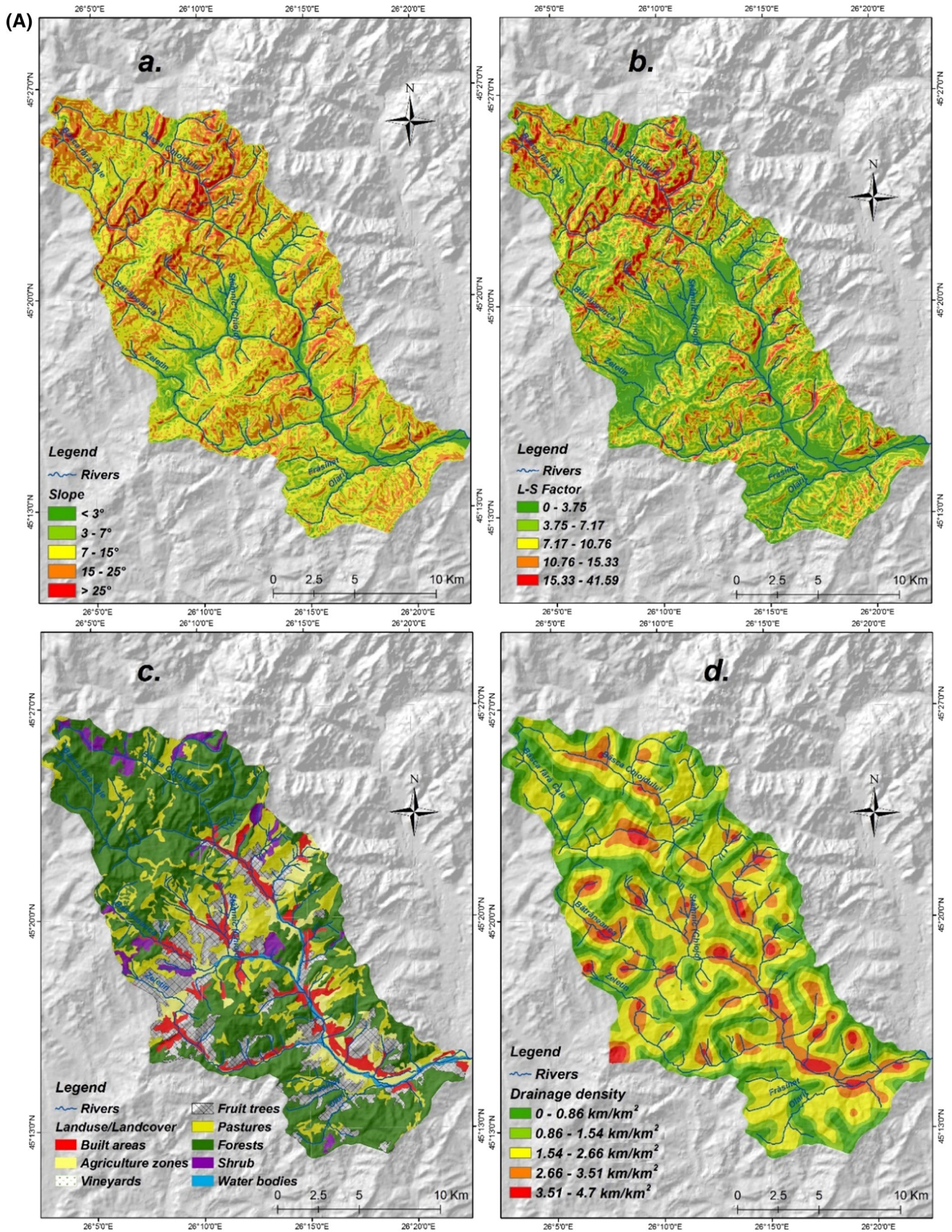


Figure 4(A). Run-off control factors in Bâsca Chiojdului River catchment: (a) Terrain slope; (b) L-S factor; (c) land use/land cover; and (d) drainage density.



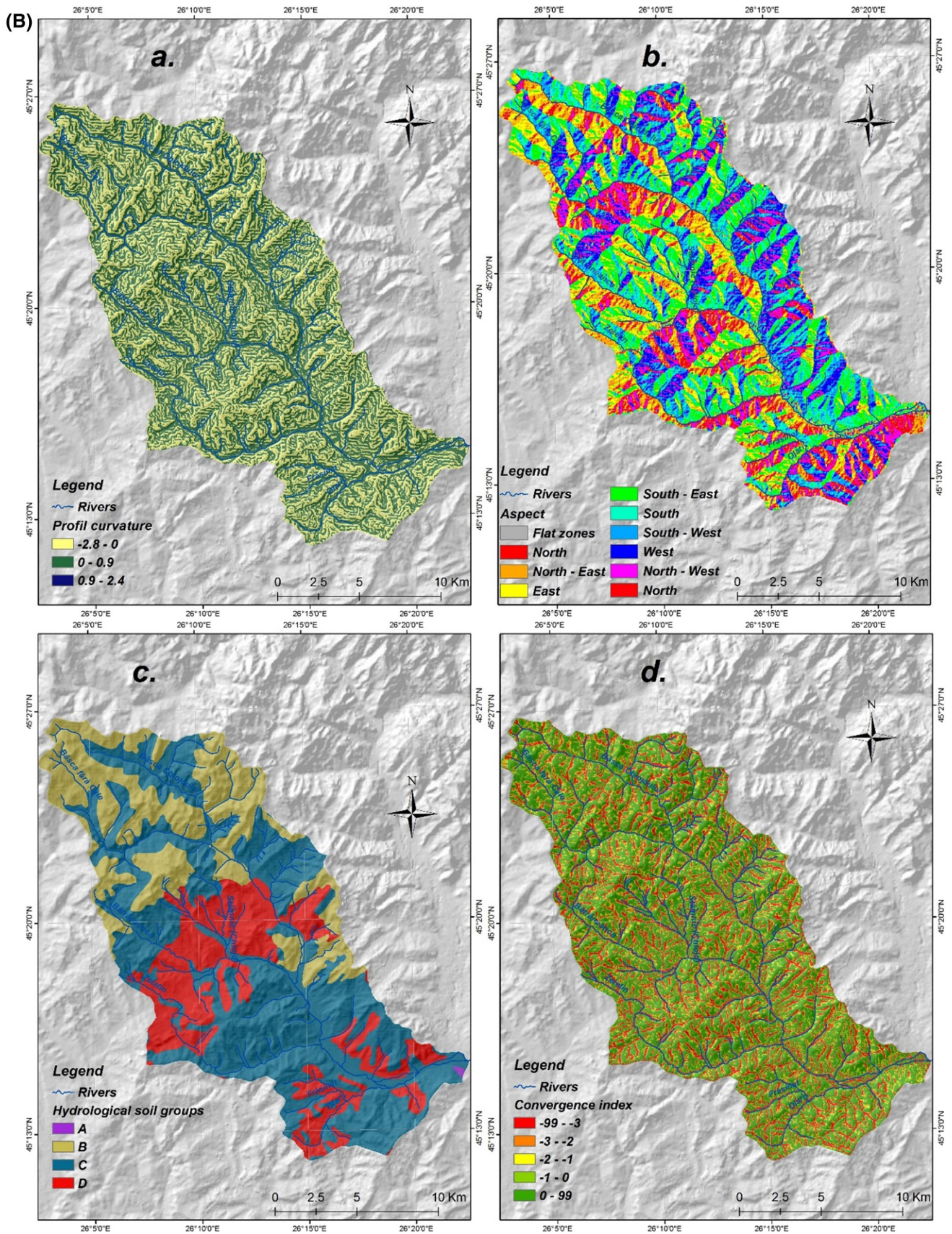


Figure 4(B). Run-off control factors in Bâsca Chiojdului River catchment: (a) profile curvature; (b) slope aspect; (c) hydrological soil groups; (d) convergence index.



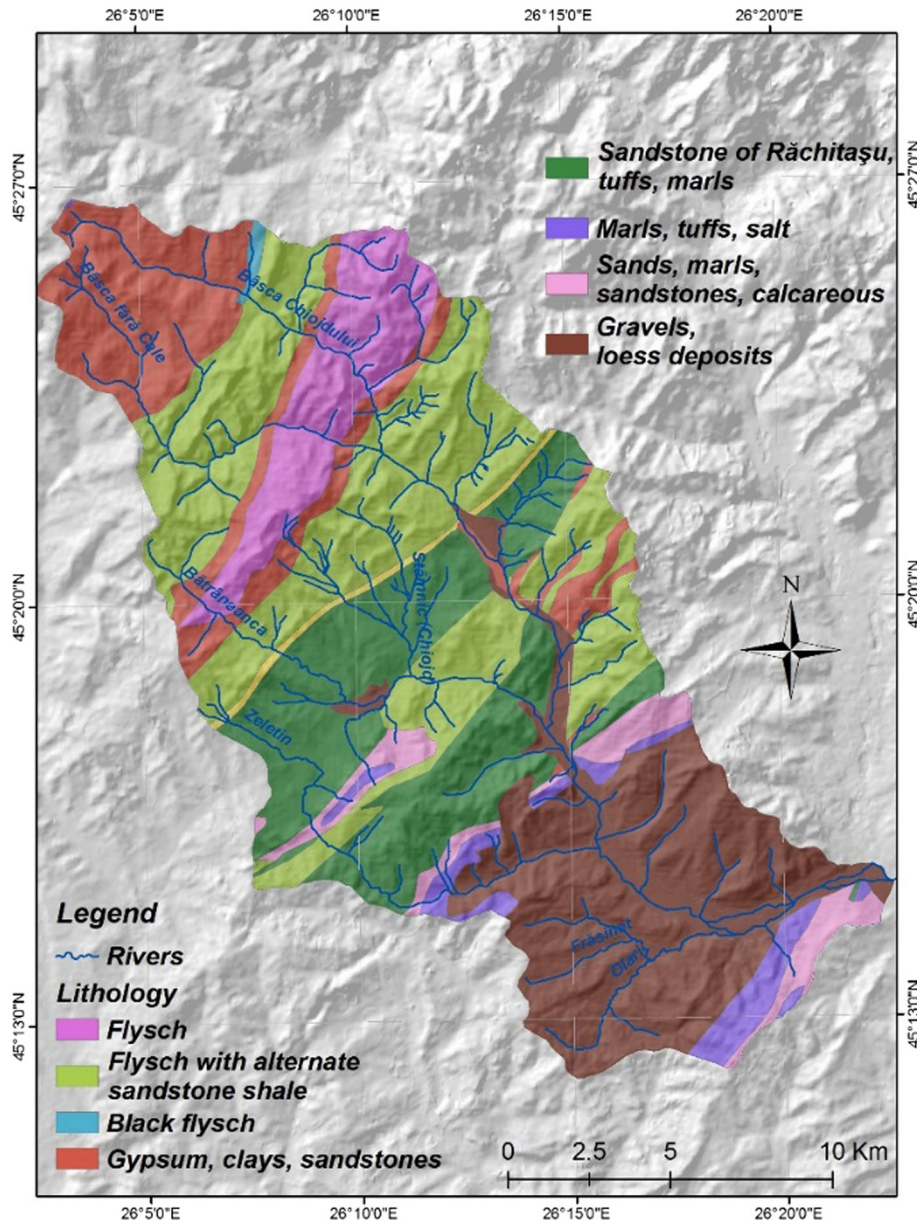


Figure 5. Lithological map of the Bâsca Chiojdului River catchment.

constant over time (Dahal *et al.* 2008). Determining each factor’s weight firstly requires computing the positive weight ( $W^+$ ), which indicates a spatial association between a factor’s class and the presence of the torrentiality phenomenon, and the negative weight ( $W^-$ ), which shows the absence of the spatial association between a factor’s weight and the presence of the torrentiality phenomenon (Van Westen *et al.* 2003). The two types of weights are computed using the equation (Bonham-Carter 1994):

$$W^+ = \ln \frac{P\{B|S\}}{P\{B|\bar{S}\}} \quad (4)$$

and

$$W^- = \ln \frac{P\{\bar{B}|S\}}{P\{\bar{B}|\bar{S}\}}, \quad (5)$$

where  $W^+$  is the positive weight,  $W^-$  is the negative weight,  $P$  is the probability,  $B$  is the presence of run-off predictive factor,  $\bar{B}$  is the absence of a run-off predictive factor,  $S$  is the presence of torrentiality, and  $\bar{S}$  is the absence of torrentiality.

The implementation of equations (4 and 5) in GIS environment was possible by combining each class of the nine factors (which were reclassified depending on how their characteristics influence

the surface run-off) with the areas where torrentiality phenomena are present. This operation was performed using the *Combine* tool of the ArcGIS 10.3.1 software at the raster pixels scale. Equations (4 and 5) can therefore be rewritten as (Van Westen *et al.* 2003):

$$W^+ = \ln \frac{Npix_1/(Npix_1 + Npix_2)}{Npix_3/(Npix_3 + Npix_4)} \quad (6)$$

and

$$W^- = \ln \frac{Npix_1/(Npix_1 + Npix_2)}{Npix_4/(Npix_3 + Npix_4)} \quad (7)$$

where  $Npix_1$  is the number of pixels with torrentiality in the class;  $Npix_2$  is the number of pixels with torrentiality from outside the class;  $Npix_3$  is the number of pixels in the class without torrentiality;  $Npix_4$  is the number of pixels without torrentiality from outside of the class;  $W^+$  is the positive weight and  $W^-$  is the negative weight.

The factors' final weighting ( $Wf$ ) for computing the flash-flood potential was obtained with the formula (Van Westen 2002):

$$Wf = Wplus + Wmintotal - Wmin, \quad (8)$$

where  $Wplus$  is the positive weight of a class factor,  $Wmin$  is the negative weight of a class factor,  $Wmintotal$  is the total of all negative weights in a multiclass map.

Finally, the Flash-Flood Potential Index ( $FFPI_{WoE}$ ) is given by the equation:

$$FFPI_{WoE} = \sum_{j=i}^n Wfj, \quad (9)$$

where  $Wfj$  is the final weight of class  $i$  in parameter  $j$  and  $n$  is the numbers of variables.

The frequency ratio (FR) method. In order to assess the FFPI by integrating the frequency ratio method, the ratio between the number of pixels with torrentiality phenomena inside a factor's class and the total number of pixels with torrentiality phenomena over the whole study area was computed, using the equation (Lee and Pradhan 2007):

$$FR = \frac{(Np(LXi)/(\sum_{i=1}^m Np(LXi)))}{(Np(Xj)/(\sum_{j=1}^n Np(Xj)))}, \quad (10)$$

where  $FR$  is the frequency ratio of class  $i$  of factor  $j$ ;  $Np(LXi)$  is the number of pixels with torrentiality

within class  $i$  of factor variable  $X$ ;  $Np(Xj)$  is the number of pixels within factor variable  $Xj$ ;  $m$  is the number of classes in the factor variable  $Xi$ ;  $n$  is the number of factors in the study area.

Once frequency ratios are computed for the classes of each factor influencing the surface run-off, the  $FFPI_{FR}$  was computed by adding the resulting values:

$$FFPI_{FR} = \sum_{j=i}^n FR, \quad (11)$$

where  $FR$  is the frequency ratio of each class of factors taken into account for the analysis.

## 4. Results

### 4.1 Weights-of-evidence method application

Following the methodology described above, the class weights for the factors considered for assessing the FFPI through the weight-of-evidence (WoE) method were derived. Thus, for the terrain slope interval of 0–3°, the final weight ( $Wf$ ) has a negative value of –1.34. The highest value of the  $Wf$  (2.83) was assigned to the interval >25°. To a certain extent, this value is also explained by the fact that the steeper the slopes are, the faster the run-off is. In terms of drainage density, the highest  $Wf$  value (0.37) is found in the interval 3.51–4.71 km/km<sup>2</sup>. Slope aspect has the highest  $Wf$  values for slopes with SE, V (0.12) and S (0.07) exposure, while the lowest value is specific for shaded slopes with N and NE exposure (–0.4). For land use,  $Wf$  values increase from cover types with high Manning roughness coefficient, such as forest areas (–2.36), to those with low Manning coefficient, such as built areas (2.18) (table 2). In terms of lithology, the  $Wf$  has low values (–1.01) for sand, gravel and loess, and high values (1.82) for hard rocks (such as the Răchitaşu sandstone), which favours surface run-off at the expense of infiltration.

The L–S factor behaves similar to the slope. Thus, the higher the factor's values are, the more the  $Wf$  increase (table 2). The convergence index has  $Wf$  values that range from –0.21 to 0.18. Minimal values are specific for interfluvial areas with positive values of the Convergence Index, while maximal values correspond to valley areas with high hydrographic network convergence. Due to the



Table 2. Specific coefficients of WoE ( $W^+$ ,  $W^-$ ,  $Wf$ ) and FR corresponding to factor classes considered for computing FFPI in the Bâsca Chiojdului catchment.

Factor	Class	$W^+$	$W^-$	$Wf$	FR
Slope	0°–3°	–1.24	0.05	–1.34	0.30
	3°–7°	–0.01	0.00	–0.11	0.99
	7°–15°	0.21	–0.23	0.11	1.21
	15°–25°	1.19	0.07	1.09	1.43
	>25°	1.53	0.01	2.83	2.10
Drainage density (km/km <sup>2</sup> )	0–0.86	0.07	–0.02	0.08	1.06
	0.86–1.54	–0.03	0.01	–0.02	0.96
	1.54–2.66	–0.33	0.10	–0.32	0.73
	2.66–3.51	0.12	–0.03	0.13	1.11
	3.51–4.71	0.36	–0.05	0.37	1.38
Aspect	SV	0.03	0.00	0.02	1.02
	S	0.08	–0.03	0.07	1.07
	SE, V	0.13	–0.05	0.12	1.13
	E, NV	–0.10	0.03	–0.11	0.90
	N, NE	–0.39	0.04	–0.4	0.69
	Forest	–2.63	0.40	–2.63	0.56
Landuse	Fruit trees, shrubs	–0.45	–0.05	–0.45	1.16
	Agricole areas, Vineyards	0.34	0.07	0.34	1.90
	Pastures	1.28	–0.49	1.28	2.94
	Built areas	2.18	0.07	2.18	1.85
	Sands, Gravels, Loess	–0.94	0.04	–1.01	0.41
Lithology	Marls, clay	–0.53	0.17	–0.6	0.61
	Gypsum, Limestone	0.27	–0.20	0.2	1.27
	Sandstone, Tuffs, Schysts	0.32	–0.11	0.25	1.34
	Sandstone of Rachitaşu	1.75	0.03	1.82	2.19
	0–3.75	–0.41	0.09	–0.45	0.68
L–S factor	3.75–7.17	–0.25	–0.13	–0.29	1.25
	7.17–10.76	0.15	–0.06	0.11	1.14
	10.76–15.33	1.61	0.04	1.57	1.83
	15.33–41.59	2.39	0.02	2.35	2.69
	0–99	–0.23	0.04	–0.21	0.80
Convergence index	(–1)–0	–0.04	0.04	–0.02	0.95
	(–2)–(–1)	0.10	–0.01	0.12	1.09
	(–3)–(–2)	0.16	–0.01	0.18	1.15
	(–99)–(–3)	0.16	–0.04	0.18	1.15
	Hydrological soil groups	A	0.00	0.00	0.00
B		–0.08	0.29	–0.34	0.31
C		1.15	0.27	0.89	1.29
D		2.13	–0.82	1.87	1.82
Profil curvature	–2.8–0	–0.06	0.05	–0.07	0.94
	0–0.9	0.05	–0.06	0.04	1.04
	0.9–2.4	–1.63	0.00	–1.64	0.21

WoE: Weights-of-evidence method;  $W^+$ : positive weight;  $W^-$ : negative weight;  $Wf$ : final weight; and FR: frequency ratio.

fact that torrentiality phenomena were not identified in the areas with soils belonging to the hydrologic group A, the WoE coefficients' value is zero. The highest  $Wf$  (1.87) is found in hydrologic group D (table 2). In terms of profile curvature, the highest  $Wf$  value (0.04) corresponds to the 0–0.9 value class, and the lowest (–1.64) to the 0.9–2.4 class.

Once each factor class was weighted, the resulting weights were summed using the *Raster Calculator* function of the ArcGIS 10.3.1 software. Thus the  $FFPI_{WoE}$  values were obtained and mapped. These range from –8.82 to 3.89, and were grouped into five classes. In order to classify them, four classification methods featured in the ArcGIS 10.3.1

Table 3. Percent of the number of training and validating areas pixels in the FFPI<sub>WoE</sub> classes for different classification methods.

Susceptibility classes	Percent of training areas pixels				Percent of validating areas pixels			
	NB (%)	Q (%)	EI (%)	G (%)	NB (%)	Q (%)	EI (%)	G (%)
1. Very low	0.35	3.97	0	0.03	0.17	3.75	0	0
2. Low	7.62	8.36	0.27	1.68	5.27	4.26	0.16	0.62
3. Medium	17.29	10.96	13.58	5.09	8.97	5.57	8.89	4.39
4. High	35.20	24.33	49.57	25.62	23.81	12.30	32.51	12.74
5. Very high	39.54	52.38	36.58	67.59	61.79	74.12	58.44	82.25

NB: natural breaks; Q: quantile; EI: equal intervals; and G: geometrical interval.

were tested: natural breaks, quantile, equal intervals and geometric interval. For establishing the most appropriate classification method, the percentage distribution of the number of pixels with torrentiality phenomena areas used for computing *WoE* coefficients (training areas) and for validating results was identified for each value class (table 3). In the training area, most pixels are found in the fifth FFPI<sub>WoE</sub> value class (very high) for the geometrical interval method (67.59%), followed by quantile (52.38%) and, with almost equal values, by natural breaks and equal intervals methods (39.54 and 36.58%, respectively).

The same hierarchy resulted for the distribution of pixels used for validating results, with the mention that class percentage differences in all four classification cases are significant. Therefore, for the geometrical interval method, 82% of pixels are found in the fifth class with very high FFPI<sub>WoE</sub> values, while the fourth class only totals 12.74% (table 3). The conclusion was that the most appropriate classification method for mapping FFPI<sub>WoE</sub> values is the geometrical interval.

Therefore, considering the geometrical interval classification method, the first class of values, ranging from -8.82 to -3.63, covers approximately 8% of the Bâsca Chiojdului River catchment and has a very low potential for accelerated run-off and flash-flood occurrence. These very low values are located especially along the large valleys of the study area, being characteristics for built-up areas from the following localities: Calvini, Pătârlagele and Cislău (figure 6). The class of low FFPI<sub>WoE</sub> values, which corresponds to areas with low potential for accelerated run-off, ranges from -3.63 to -1.89, and covers approximately 20% of the study area. These values are present especially in the southern part of the study area being present in Zeletin, Frăsinet and Olari catchments. The medium potential class, with FFPI<sub>WoE</sub> values ranging from -1.89

to -0.49, is spread relatively uniform throughout the Bâsca Chiojdului catchment, and totals approximately one third of its area. A quarter of the study area has high FFPI<sub>WoE</sub> values (ranging between -0.49 and 1.14), which indicate a high potential for accelerated run-off and flood occurrence. Areas with high FFPI<sub>WoE</sub> values are mainly located in the central and northern sectors of the Bâsca Chiojdului River catchment. The highest FFPI<sub>WoE</sub> values (1.14-3.89), which indicate a very high potential for accelerated run-off and flood occurrence, cover approximately 15% of the total study area. They are mainly located in the upper zone of Stâmnice, Zeletin and Bătrâneanca catchments and also in the northern extremity of Bâsca Chiojdului River (figure 6). Very high and high FFPI values are characteristics for slopes located closely to the built-up area of Chiojdu, Starchiojd and Cătina localities.

#### 4.2 Frequency ratio method application

By applying the frequency ratio (*FR*) method as described in chapter 3, *FR* coefficients have resulted for the classes of the nine run-off control factors considered for computing the FFPI. Therefore, the highest *FR* value (2.94) corresponds to the grassland areas of the land use factor. The same factor also includes the noteworthy cases of arable land, vineyards and built areas with high *FR* values ~1.9 (table 2). Terrain slope values of over 25° have an *FR* coefficient of 2.10. For drainage network density, *FR* values do not have a wide range: from 0.73 for the middle class to 1.38 for high density areas. The same type of slow variation was found for slope aspect and convergence index. The span between the lowest and the highest *FR* values was 0.38 for slope exposure, and 0.35 for the convergence index (table 2). Higher *FR* values resulted for areas with a hard lithologic substrate,

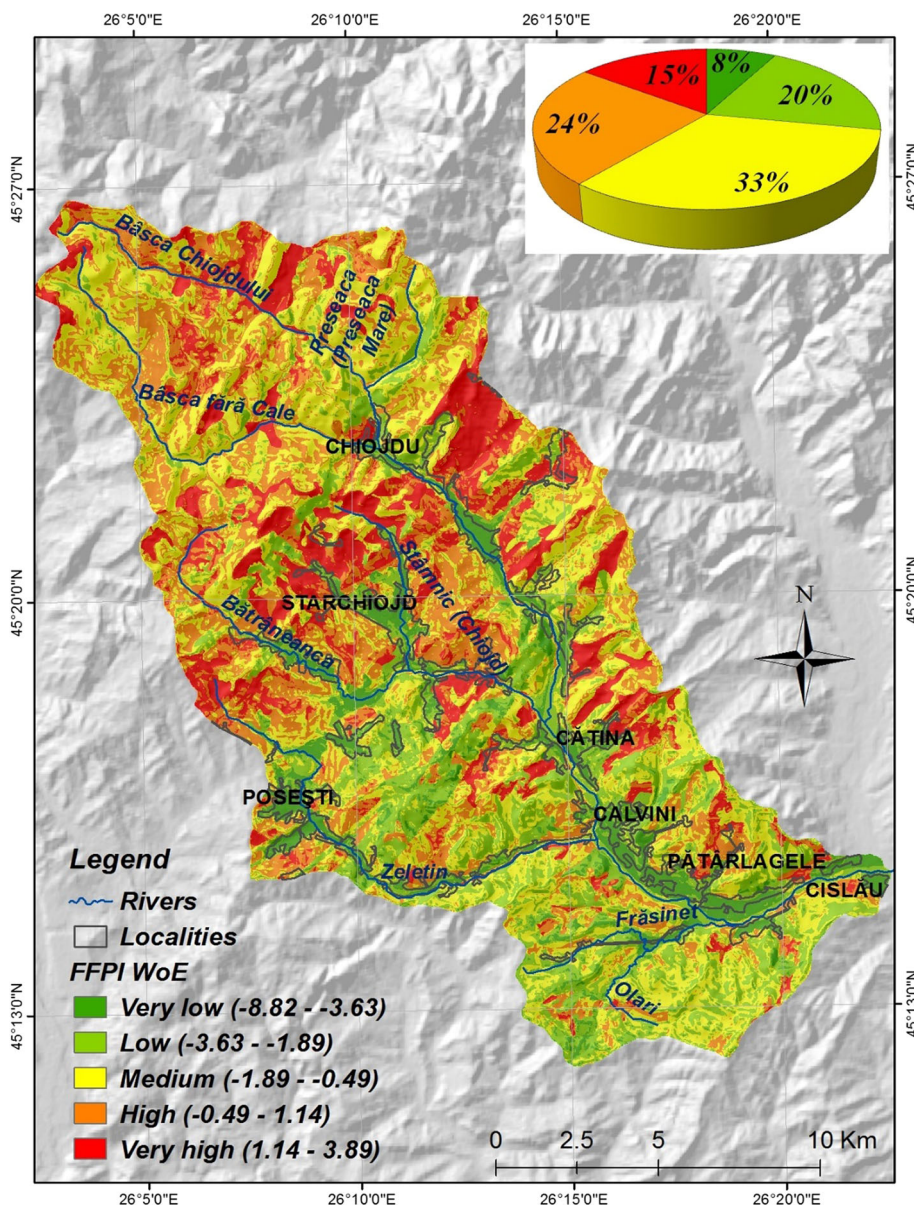


Figure 6. FFPI<sub>WoE</sub> distribution in the Bâsca Chiojdului River catchment.

i.e., Răchitașu sandstone (2.19), for the fifth class of the L–S factor (2.69), and for hydrologic soil group D (1.82). In case of the profile curvature, *FR* values ranged from 0.21 (for the 0.9–2.4 interval) to 1.04 (for the 0–0.9 interval) (table 2). It should be noted that the values of *FR* coefficients of the factors considered for determining the FFPI are distributed similarly to WoE coefficients computed for the classes of the same factors.

The mapping of the FFPI<sub>FR</sub> values was similar to the mapping of the FFPI<sub>WoE</sub> values. Cartographic algebra was therefore used for summing the nine geographic factors to which *FR* values were attributed. The resulting FFPI<sub>FR</sub> values for the Bâsca Chiojdului River catchment range from

4.68 to 12.68, and were grouped into five classes of intensity.

The optimal classification method was chosen similarly to the WoE, after estimating the percentage of pixels with torrentiality phenomena present in each value class. As with FFPI<sub>FR</sub>, the highest pixel percentage of the fifth FFPI<sub>FR</sub> value class (with torrentiality phenomena) used both for computing the FFPI<sub>FR</sub> (training area) and for validating results (validating area), was obtained by applying the geometrical interval classification method. With this method, 58.65% of training area pixels were distributed in the very high FFPI<sub>FR</sub> value class, followed by the quantile (51.74%) and natural breaks (34.5%) methods (table 4). The



Table 4. Percent of the number of training and validating areas pixels in the  $FFPI_{FR}$  classes according to different classification methods.

Susceptibility classes	Percent of training areas pixels				Percent of validating areas pixels			
	NB (%)	Q (%)	EI (%)	G (%)	NB (%)	Q (%)	EI (%)	G (%)
Very low	0.45	2.75	0	1.11	0.31	2.88	0	0.86
Low	7.85	8.70	1.69	9.63	6.69	5.57	1.56	7.30
Medium	21.07	11.92	34.84	5.06	7.80	4.92	15.80	2.38
High	36.13	24.89	37.34	25.54	17.10	8.23	34.50	8.92
Very high	34.50	51.74	26.13	58.65	68.10	78.41	48.14	80.54

NB: natural breaks; Q: quantile; EI: equal intervals; and G: geometrical intervals.

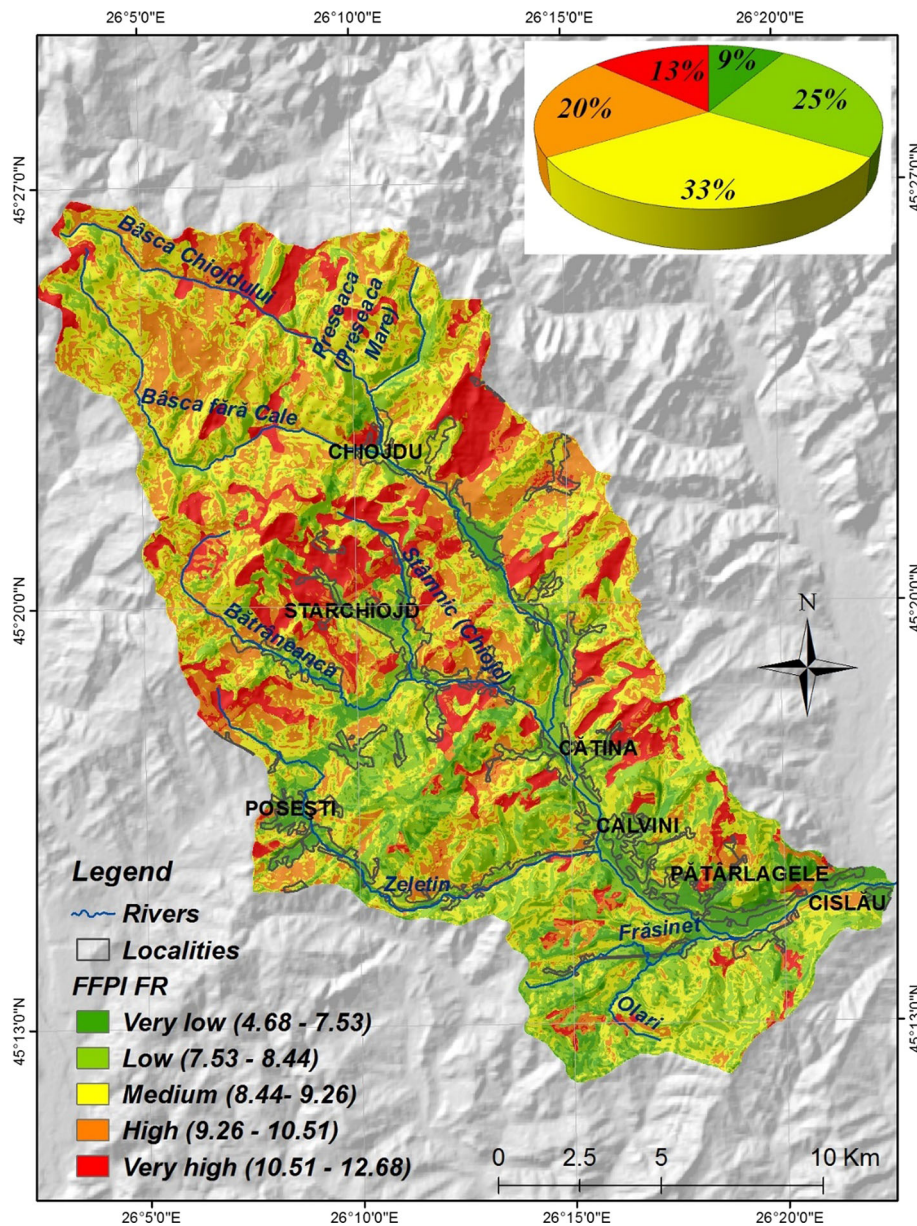


Figure 7.  $FFPI_{FR}$  distribution in the Bâsca Chiojdului River catchment.

same hierarchy resulted for pixels corresponding to areas used to validate results (percentage differences between the very high class and the rest were significant in this instance as well).

FFPI<sub>FR</sub> values were grouped similarly to the FFPI<sub>WoE</sub> classification (geometrical interval method). The first value class ranges from 4.68 to 7.53 and indicates a very low potential of accelerated run-off. It is characteristic to the lower sectors of the main valleys in the Bâsca Chiojdului River catchment, and totals 9% of its area (which is close to the very low potential areas determined based on FFPI<sub>WoE</sub>). The second FFPI<sub>FR</sub> value class ranges between 7.53 and 8.44, and indicates a low potential of accelerated run-off. This class totals a quarter of the catchment area, 5% more than the corresponding class of FFPI<sub>WoE</sub>. The average FFPI<sub>FR</sub> value class (medium potential), ranging from 8.44 to 9.26, totals a third of the Bâsca Chiojdului River catchment, which equals the area of the similar FFPI<sub>WoE</sub> class. These values are spread relatively uniformly throughout the study area (figure 7).

The high FFPI<sub>FR</sub> values (high potential), ranging from 9.26 to 10.51, are mainly located in the central and northern sectors of the study catchment (figure 7), and total 20% of its area (3% less than the corresponding FFPI<sub>WoE</sub> class). The areas with a *very high* potential of accelerated run-off and flood occurrence have FFPI<sub>FR</sub> values ranging from 10.51 to 12.68 (figure 7). They total 13% of the study area (2% less than the very high FFPI<sub>WoE</sub> value class) and are characteristic of the central and northern parts of the Bâsca Chiojdului River catchment, being mainly located in the upper sectors of the Stâmnice, Bătrâneanca and Zeletin catchments.

### 4.3 Validation of results

The results obtained by using the two statistical methods for computing the FFPI were validated in two ways: (i) by quantifying the rate of the number of pixels corresponding to torrentiality phenomena considered for the study (training area) and for the results' testing (validating area) and (ii) by means of the ROC (receiver operating characteristics) curve.

In the former case, the results listed in tables 4 and 5 clearly indicate that most of the pixels corresponding to torrentiality phenomena areas are found in the classes with high and very high FFPI<sub>WoE</sub> and FFPI<sub>FR</sub> values, considering four classification methods for value intervals. Thus, for the FFPI<sub>WoE</sub>, the percentage of validating areas pixels in the very high class ranges between 58.44% (equal intervals method) and 82.25% (geometrical interval method). For the FFPI<sub>FR</sub>, the percentage of validating areas pixels in the very high class is slightly lower, and ranges from 48.14% (equal intervals method) to 80.54% (geometrical interval method) (tables 3 and 4).

The data used for the ROC curve consists of the number of pixels corresponding to torrentiality phenomena areas, and of the values of the two indices: FFPI<sub>WoE</sub> and FFPI<sub>FR</sub>. The curve was designed for both training and validating areas. This curve is used for highlighting the connection between prediction (FFPI values) and response (pixels with presence or absence of torrentiality phenomena). In the present case, the analysis only uses pixels of torrentiality phenomena areas

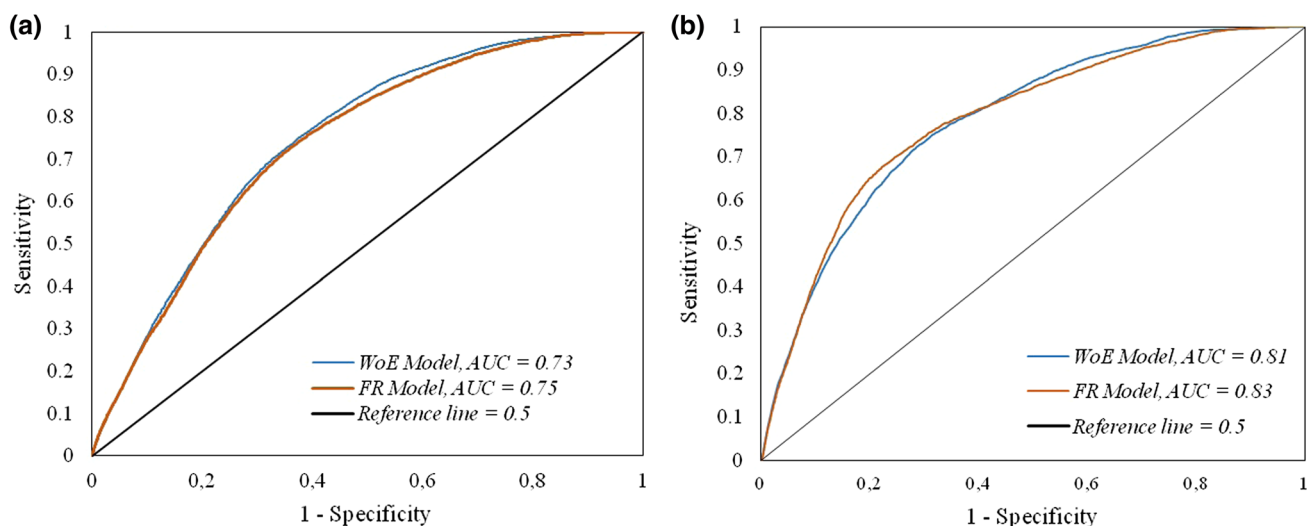


Figure 8. ROC curves with associated AUC values computed from (a) training samples and (b) validation samples.

processed for model testing. The ROC curve is two-dimensional, with Y axis for sensitivity and X axis for specificity. The model's efficiency lies in the area under the curve (AUC). Its value is subunitary, and the closer it gets to 1, the better the model is (Pallant 2013).

In the case of the ROC curve designed for the training area sample, it can be noticed that the two applied methods (weights-of-evidence and frequency ratio) have AUCs that exceed 0.7, which means that the methods have a good efficiency (figure 8a). For the ROC curve designed for the validating area sample, the AUC values exceed 0.8 for both models, showing a very good efficiency (figure 8b).

## 5. Conclusions

Identifying areas susceptible to flash-flood occurrence is an issue of high practical interest in order to mitigate the related risks. This paper presents a methodology for flash-flood potential assessment and mapping based on the GIS environment integration of nine run-off control factors and two statistical methods (weights-of-evidence and frequency ratio) in GIS environment. We consider that, compared to the FFPI method used in previous studies, which is based strictly on the GIS integration of some run-off control variables, this approach, which integrates two statistical methods, offers the possibility to obtain more credible and accurate results. Credibility is confirmed by the validation of results, which was performed by two methods: (i) analysis of the percent distribution of the number of pixels with torrentiality phenomena in the classes of the two indices computed for the two statistical methods (FFPI<sub>WoE</sub> and FFPI<sub>FR</sub>), and (ii) by plotting the ROC curve for training area and validating area pixel samples.

An attempt to evaluate the FFPI values within Bâsca Chiojdului catchment was carried out by Prăvălie and Costache (2014). In order to calculate the FFPI, the authors used the evaluation marks of the geographical factors and their overlay in GIS environment through map algebra. Since the applied methodology for both studies is different, the range values of FFPI are also different. In the Prăvălie and Costache (2014) study, the surfaces characterized by a high and very high values of FFPI cover approximately 28% from the entire Bâsca Chiojdului catchment, while in present study these values are specific for 39% in case of FFPI<sub>WoE</sub>

and 33% for FFPI<sub>FR</sub>. Although the percentages occupied by high and very high FFPI values are different in terms of two research works, these areas are approximately localized in the same zone, mainly in the central part of Bâsca Chiojdului catchment.

The methodology applied in the present study allowed us to identify areas with high and very high potential of accelerated run-off and flood occurrence in the Bâsca Chiojdului River catchment, which total more than a third of the catchment's area. Such areas are mainly located in the central sector of the catchment (in the upper sectors of sub-catchments Stâmnice, Zeletin and Bătrâneanca), in the vicinity of settlements Chiojdu and Starchiojdu.

The methodology proposed in this paper could be applied in catchments for which no information/maps are available on flood susceptibility. The presence of areas with high and very high potential of accelerated run-off and flash-floods occurrence requires the local-level adoption of appropriate measures for related risks mitigation. Nevertheless, it should bear in mind that the results could be subjected to errors and uncertainties due to several factors such as: possible inaccuracies resulted in the digitization process of the areas with torrential phenomena; errors in the spatial representation of the geographical variables used in the calculation of FFPI values. Given the fact that only static elements from the topographical surface are used for the FFPI determination, this index gives a general idea about flash-flood potential for certain surfaces, therefore it is necessary to analyse many other information in real time (the amount of precipitation estimated by weather radar) during the flash-flood forecast activity.

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